

CPD SEMINAR

A Practical Discussion on the Recent Business and Compliance Trends of the Payment Industry - Hong Kong

Date: 16 Sep 2023, Saturday (Enrollment closed on Wednesday, 13 Sept 2023)
Time: 13:00 – 15:00 (registration: 12:45) **CPD Units:** 2 units
Fee: \$100 for AIA / \$150 for non-members (Free for PolyU HKCC and SPEED students)
Language: Chinese (Supplemented with English PPT)
Venue: Lecture Theater WK-S215, 2/F South Tower, PolyU HKCC (West Kowloon Campus)
Address: 9 Hoi Ting Road, Yau Ma Tei, Kowloon
Remarks: CPD Certificate will be sent via email after the seminar

Part 1: A Practical Discussion on how to maintain your MSO license properly

1. The basics of MSO Licensing Guide
2. Ongoing Obligations (Licensing Guide Section XI)
3. How to maintain your Client Relationships (Client Onboarding / Ongoing Monitoring)
4. How to maintain your Business Relationships (Local / Overseas business partners)
5. How to maintain your Bank Accounts (if any)
6. How to study for the Competence Assessment

Speaker:

Mr. Tao Wong graduated with a BSc in Business Administration and Finance from the University of Kansas of the United States. Tao Wong entered the financial industry at Haitong International Securities Group Limited (previously "Taifook Securities"). In 2017, Tao Wong co-founded ComplianceOne Consulting Limited ("ComplianceOne"). He is currently the Partner of the Company and is responsible for providing advisory services to various types of financial institutions. He is an expert in designing strategic planning and tailor made operational plans for clients, helping hundreds of financial institutions, including licensed corporations, licensed money service operators, and other corporations to comply with the AMLO and the related regulations in Hong Kong. Over the years, he has handled hundreds of licensing cases, external AML Audits, and compliance inspections.



Part 2: How to effectively maintain your MSO License: Understanding the practical operation, anti-money laundering and GRC

1. Understanding the basic of Money Laundering and Terrorist Financing
2. Understanding the principles of Customer Due Diligence and what actions to undertake incomplete CDD
3. The importance roles of accountant to anti-money laundering
4. Understand the definition of GRC and how to power your business

Speaker:

Mr. Boris Luk is a seasoned professional in the fields of corporate governance and compliance, with extensive experience in company secretarial and compliance matters. He holds a Master of Philosophy in Management, Master of Business Administration, Master of Laws, and Bachelor of Laws, and is a chartered governance professional, qualified accountant and Forensic CPA.

Boris has over 5 years of experience as Chief Compliance Officer (CO) and Money Laundering Reporting Officer (MLRO) for numerous multinational licensed corporations.



Registration Form – Payment Industry 20230916

Name:		Membership No:	
Email:		Mobile No:	
Payment: 1. Direct Deposit: DBS Bank [Account Number: 02-30613167 / Account Name: The Association of International Accountants - Hong Kong Branch] 2. Send Cheque Payable to "The Association of International Accountants - Hong Kong Branch" at Rooms 1010-1011, 10/F Lu Plaza, 2 Wing Yip Street, Kwun Tong, Hong Kong *Please email the payment image and the registration form to Ms. Aby Yuen at hongkong@aiaworldwide.com first.			

Terms and Conditions:

- I have read the booking conditions below and agree to abide by them
- Email will be sent once registration form and payment received and No refund can be made.
- Enquiries: 2845 4982 (Tel); hongkong@aiaworldwide.com (email)