

Co-organizers:



THE ASSOCIATION OF  
**HONG KONG  
ACCOUNTANTS**  
香港會計師專業協會

*(a member of The Association of Hong Kong Accountants Limited,  
incorporated in Hong Kong as a company limited by guarantee)*

**HKiNED**  
香港獨立非執行董事協會  
The Hong Kong Independent  
Non-Executive Director Association

**Physical Seminar on  
“Dubious Transactions and Loans - Enforcement Trends and Practical Insights”**

**Speakers:**

**Mr. William Wong**  
Partner, Howse Williams

**Mr. Lester Fung**  
Consultant, Howse Williams

**Mr. Sean Lam**  
Senior Managing Director, FTI Consulting

**(Course Code : 202025)**

**Highlight:**

In recent years, there has been increased scrutiny by regulatory authorities such as the Securities and Futures Commission, Accounting and Financial Reporting Council and the Hong Kong Stock Exchange over dubious transactions and loans involving listed companies. Leveraging their experience, the speakers will discuss the enforcement trends and share their practical insights in identifying and investigating these transactions.

By reference to real-life and hypothetical examples, our speakers will share their insights in the following areas:

1. Enforcement trends and regulatory expectations;
2. Best practices & compliance tips;
3. Practical insights in identifying and investigating dubious transactions, including fund flow analysis, evidence collection, management of stakeholders, etc.

The seminar is not only useful for our practicing CPAs, but also directors and senior management of listed companies, etc.

<b>Date:</b>	<b>5 August 2025 (Tuesday)</b>	
<b>Time:</b>	6:00pm – 8:00pm (6:00pm – 6:30pm reception with refreshment)	
<b>Venue:</b>	<b>FTI Consulting Office</b> Level 35, Oxford House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong	
<b>Medium:</b>	Cantonese (with English presentation materials)	
<b>CPD Hours:</b>	1.5 hours	
<b>Enrolment Fee:</b>	<b>HK\$300</b>	Member of AHKA
	<b>HK\$300</b>	Member of Supporting Organizations
	<b>HK\$500</b>	Non-member or Others
<b>Online Enrolment:</b>	Please <a href="#">Click Here</a>	
<b>Deadline:</b>	1 August 2025 (Friday)	

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**About the Speakers:-**



**Mr. William Wong**

**Partner**

**Howse Williams | Disputes and Regulatory Lawyer**

William specialises in contentious regulatory work and financial services and commercial litigation with almost 20 years of experience. He represents both institutional and individual clients in investigations by regulatory authorities and law enforcement agencies in Hong Kong on a wide range of matters, including market misconduct, money-laundering, bribery and corruption, mis-selling, internal controls failure, fraud, employee whistleblowing and data breaches.

On the advisory side, leveraging his in-house experience William provides counsel on a broad range of regulatory and compliance issues concerning financial institutions and listed companies, including new business initiatives (the drafting of client agreements), licensing issues, internal controls enhancements, as well as emerging trends such as the use of Tech and virtual assets.

William has been ranked by leading legal directories since 2018, including Legal 500 ("Next Generation Lawyer" for Disputes Resolution), IFLR1000 ("Rising Star Partner" in Financial Services Regulatory), Who's Who Legal ("Future Leader" in Investigations), LexisNexis ("40 Under 40") and China Business Law Journal ("20 Rising Stars in international firms").

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**About the Speakers (cont'd):-**



**Mr. Lester Fung**

**Consultant**

**Howse Williams | Disputes and Regulatory Lawyer**

Lester is a qualified solicitor and civil celebrant of marriages in Hong Kong and is also qualified to practise law in the Greater Bay Area of the PRC.

Lester's practice predominantly focuses on resolving commercial disputes and financial regulatory matters. He also has abundant experience in insolvency and restructuring matters, drawing upon his substantial involvement in some high-profile and precedent-setting insolvencies in recent years, notably in the PRC real estate sector.

In addition, Lester counsels clients on a range of compliance and market misconduct issues, investigations, commercial contract reviews and regulatory due diligence in key transactions and novel product launches, especially those relating to listed companies, emerging and regulated sectors, such as banking, payments and virtual assets.

Lester is a fellow of the Chartered Institute of Arbitrators and member of the Restructuring and Insolvency Faculty of the HKICPA. He holds a specialist qualification in insolvency awarded by the HKICPA and has previously co-authored the Hong Kong chapter of leading practitioners' text on payments law and data privacy.

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**About the Speakers (cont'd):-**



**Mr. Sean Lam**  
**Senior Managing Director**  
**FTI Consulting**

Sean Lam is a Senior Managing Director in the Forensic & Litigation Consulting segment of FTI Consulting, and he is based in Hong Kong.

Sean has over nineteen focused years of professional services experience in forensic accounting, fraud investigation and litigation support. He has significant experience in a range of high-stakes, cross-jurisdiction projects, specialising on forensic accounting review and investigation of white-collar crime, accounting irregularities and other complex regulatory investigations. He has also been appointed as a testifying expert in legal proceedings in the Hong Kong High Court.

Sean has significant exposure to conducting investigations into suspected accounting irregularities of Hong Kong, U.S. and other foreign listed companies with principal business operations in the People's Republic of China ("PRC"). In this role, Mr. Lam regularly applies commercial, accounting and finance skills in examining historical financial, operational and transactional records, conducting fund tracing analyses and performing detailed analyses to establish the accuracy and completeness of those records. The results of Mr. Lam work are frequently presented to corporate boards, audit committees and legal advisors, together with regulatory and enforcement authorities in Hong Kong and abroad.

Sean has led and worked on a broad range of investigation and forensic accounting engagements. One of Sean's current engagements involve the "biggest investigation of market malfeasance" in Hong Kong's history, in relation to a tangled web of 50 Hong Kong listed companies, also a subject matter of global media coverage. In particular, Sean's investigation has identified evidence of a string of irregular transactions, including loan fraud, market manipulation, misappropriation of company funds, as well as capital raising transactions that were designed to defraud minority shareholders.

## Enrolment Form

To : The Association of Hong Kong Accountants

Email : [ahka\\_enrolment@ahka.hk](mailto:ahka_enrolment@ahka.hk)

Enquiries : 3520 2546

**Physical Seminar on**  
**“Dubious Transactions and Loans - Enforcement Trends and Practical Insights”**  
**Course Code: 202025**  
**5 August 2025 (Tuesday)**

- ☐ Member of AHKA (No.: \_\_\_\_\_)
- ☐ Member of Supporting Organizations  
(No.: \_\_\_\_\_)
- ☐ Non-Member or Others

Full Name : \_\_\_\_\_

Firm's Name : \_\_\_\_\_

Mobile Phone No. : \_\_\_\_\_ Email: \_\_\_\_\_

<b>Enrolment Fee:</b>	Member of AHKA	HK\$300
	Member of Supporting Organizations	HK\$300
	Non-Member or Others	HK\$500

Cheque No. : \_\_\_\_\_ Bank: \_\_\_\_\_ Amount HK\$\_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Please complete the enrolment form together with your cheque for the full amount made payable to **“The Association of Hong Kong Accountants Limited”** and send to Unit E6, 2/F., Hong Kong Industrial Building, 444-452 Des Voeux Road West, Hong Kong.

**Notes:**

- Enrolments for the seminar are accepted on the first-come-first-served basis. Priority will be given to AHKA's members or associates / members of supporting organizations.
- Confirmation will be sent by email one or two day before the date of the seminar.**
- If Typhoon Signal No. 8 or higher / Black Rainstorm warning is hoisted, the seminar will be postponed and new arrangement will be announced.
- Personal data** collected from the enrollee may be used by the Association to inform you of this seminar, and any other training activities, the benefits, goods, services, facilities and events to be organized or provided by the Association. An enrollee may opt out from receiving such materials at any time by sending a letter to the Association's privacy officer.
- Once application is successful, fees are non-refundable and seats are non-transferable.**