

### AIA CPD SEMINAR (Hybrid Seminar)

## Hong Kong Money Service Operator Compliance Training: A Comprehensive Guide from Licensing to Ongoing Supervision

**Date:** 27 June 2026 Saturday (*Enrollment closed on Wednesday, 24 June 2026*)  
**Time:** 14:00 – 17:00 (registration: 13:45) **CPD Units:** 3 units  
**Fee:** \$150 for AIA members and Supporting Organisations ; \$200 for nor-members  
**Language:** Chinese (Supplemented with Chinese or English PPT)

#### Physical Location:

**Venue:** Room 6, HKMA Central/Admiralty Centre  
**Address:** 14/F Fairmont House, 8 Cotton Tree Drive, Admiralty  
**Online Zoom:** ZOOM link will be sent after payment received

**Remarks:** CPD certificates will be emailed within one month after the seminar, subject to a minimum attendance of 80% or above

#### Speakers:



Dr Boris Luk is a seasoned professional in the fields of corporate governance and compliance, with extensive experience in company secretarial and compliance matters. He holds a Doctorate of Business Administration, Master of Philosophy in Management, Master of Business Administration, Master of Laws, and Bachelor of Laws, and is a chartered governance professional, qualified accountant and Forensic CPA, CAMS, CGSS and CFE.

Boris has over six years of experience as Chief Compliance Officer (CO) and Money Laundering Reporting Officer (MLRO) for numerous multinational licensed corporations.



Mr. Tao Wong graduated from the University of Kansas with a Bachelor's degree in Business Administration and Finance. He entered the financial industry by joining Haitong International Securities Group Limited.

In 2017, Mr. Wong co-founded ComplianceOne Consulting Limited ("ComplianceOne"). He is currently a Partner of the firm, responsible for providing advisory services to various financial institutions. He specializes in designing strategic plans and providing tailored compliance advice and operational solutions, helping hundreds of financial institutions—including licensed corporations, licensed financial service providers, and other firms—comply with Hong Kong's anti-money laundering laws and related regulations. Over the years, he has handled hundreds of licensing applications, external AML audits, and regulatory compliance inspections.



Representative of CAMS: Mr. Zhang Yin (Adam) is an expert in financial crime regulations and practices. He has served as the head of anti-money laundering and financial crime compliance for the wholesale business at a foreign bank, overseeing risk control and strategy development in areas such as anti-money laundering, sanctions, anti-fraud, and payment transparency. He provided compliance consultation and risk assessments for corporate clients impacted by sanctions and shared insights on financial crimes with major state-owned banks.

**Brief introduction of the seminar:**

Focusing on the latest regulatory guidelines and enforcement priorities from the Hong Kong Customs and Excise Department for Money Service Operators (MSOs), this session breaks down the core compliance requirements for the daily maintenance of MSO licenses. Through practical case studies, it analyzes common risk areas in MSO business operations—such as lapses in Customer Due Diligence (CDD), non-compliant record-keeping, and the misalignment of business processes with regulatory mandates. Furthermore, it provides actionable risk prevention methods and operational procedures for license renewals and routine compliance inspections, assisting enterprises in precisely aligning with regulatory requirements to practically mitigate operational risks such as warnings, penalties, or even the revocation of licenses.

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### Registration Form – 20260627

<b>Name:</b>	_____ <small>your name will be printed on CPD certificate</small>		
<b>Email:</b>	_____ <small>Confirmation email and CPD certificate will be sent via Email</small>	<b>Mobile No:</b>	_____
<b>Membership:</b>	Please tick the appropriate box below:  <input type="checkbox"/> AIA member (membership no: _____)  <input type="checkbox"/> Supporting Organisation : _____  (membership no: _____)		
<b>Attend:</b>	<input type="checkbox"/> <b>Attend in person</b>  <input type="checkbox"/> <b>Attend online via ZOOM</b>  <input checked="" type="checkbox"/> <b>please tick the appropriate box for above</b>		
<b>Payment:</b>	1. Direct Deposit: DBS Bank (#016) [Account Number: 02-30613167 / Account Name: The Association of International Accountants - Hong Kong Branch] 2. Send Cheque Payable to “The Association of International Accountants - Hong Kong Branch” at Rooms 1010-1011, 10/F Lu Plaza, 2 Wing Yip Street, Kwun Tong, Hong Kong *Please email the payment image and the registration form to Ms. Aby Yuen at hongkong@aiaworldwide.com first.		

**Terms and Conditions:**

- I have read the booking conditions below and agree to abide by them
- Confirmation Email will be sent once registration form and payment received and No refund can be made.
- Enquiries: 2845 4982 (Tel); hongkong@aiaworldwide.com (email)